

2018

**Survey Question:** Compliance Officer 18-15

I am trying to gather best practices related to the compliance department and the role of compliance officer (I am mainly looking at facilities with less than 500 employees but any information would be great). Can you please answer the following questions:

Does your organization employ a Compliance Officer? If so, does this role share responsibility with another role in the organization (i.e. VP of HR, Privacy Officer)? If the role is shared, how many hours are spent/week in the role of compliance officer?

How many employees in your organization?

Can you please share your job description for the Compliance Officer?

Barb Lutz

Heart Center of the Rockies Regional Medical Center

**Responses:**

1. I have answered the questions below as we used to be more independent. Since we have joined UHealth, we now have a compliance person located there instead of having me do HR and Compliance.

**Arianne Randolph, SPHR, SHRM-SCP** Human Resources Director Pikes Peak Regional Hospital



HR  
Director-RCPO-06231

2. Yes, we employ a Compliance officer and this is their full time primary role. We have a little over 200 Employees.

*Michelle M. Pollart* Human Resources Manager

3. We employ a fulltime Director of Compliance. She is primarily responsible for compliance but does work very closely with our Director of Risk Management and Quality team. We have about 730 employees (FT, PT, PRN). For whatever reason, I cannot seem to locate an electronic copy of the Director of Compliance job description, but if/when I do, I'd be more than happy to share with you.

**Lindsay Meredith** HR Business Partner San Luis Valley Health

4. We employ an experienced Chief Ethics & Compliance Officer. We had some processes that needed attention and decided this was a needed area for allocated funds. We have about 1000 staff. We currently have an interim privacy officer who is also the HIM Director.

**Tanya Rippeth, MBA, CHHR, SHRM-SCP, CEBS** *Director, Human Resources*

**Total Responses: 4**